Docket No. 428.1145

UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: SZNAIDMAN, Marcos L. Art Unit: 1612

Re: Application of: KIM, Myung-Hwa, et al.

Serial No.: 10/562,615

Filed: July 27, 2006

For: TRICYCLIC DERIVATIVES OR

PHARMACEUTICALLY SALTS THEREOF,

THEIR PREPARATIONS AND PHARMACEUTICAL COMPOSITIONS

CONTAINING THEM

Confirmation No.: 6138

AMENDMENT AND RESPONSE

Commissioner for Patents August 31, 2009

P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir

In response to the Final Office Action dated May 29, 2009, the following amendments and remarks are provided. In addition, Applicants provide herewith a Request for Continued Examination with the required fee.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 11 of this paper.

CERTIFICATE

I hereby certify that this correspondence is being EFS-Web or facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 31, 2009

LUCAS & MERCANTI, LLP

BY: /Yun H. Choe/